# Research funding at NIH: Statistical methods research funding at NIAID.

Joint Statistical Meeting

July 29, 2018 Vancouver, Canada





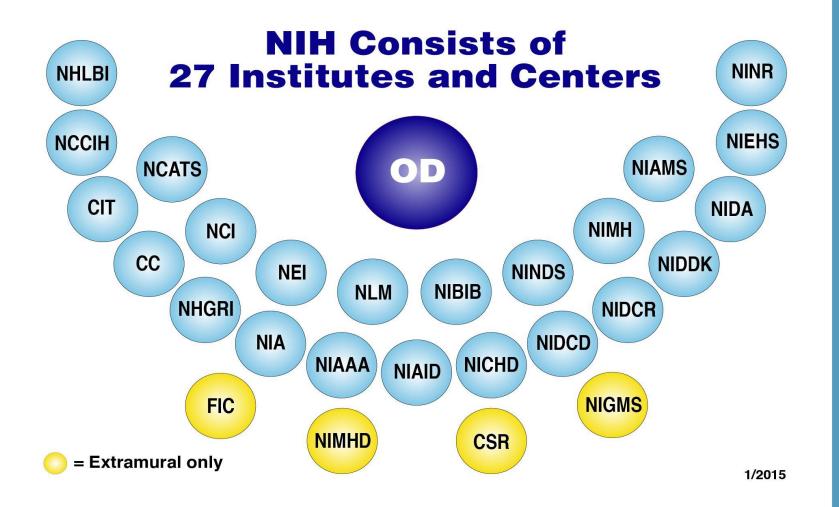
#### **Outline**

- National Institutes of Health
  - 27 institutes and centers
  - Is NIH appropriate for your proposal?
- NIH grant application pre-submission to completion
  - Solicited and Unsolicited funding opportunities
  - Information on Institutions research interest
  - Contact Program Officer (PO)
  - Submission and Review (CSR)
  - Funding Decision
  - Receiving summary statement and next step (PO)
- Summary



#### **NIH ICs**





#### **National Institutes of Health**



- NIH has 27 institutes and centers, each with its own research focus. See where your research might fit.
- Institutes (21)
  - Disease specific Institutes (20).
    - E.g. NIAID, NCI, NHLBI, ...
  - General biomedical research
    - National Institute of General Medical Sciences (NIGMS).
- Centers (6)
  - Fogarty International Center.
    - International (Global health) research and training activities
  - Center for Scientific Review.
    - Grant submission and review

### Pre-submission Is your research appropriate NIH?



Is your research related to NIH's mission?

#### NIH Mission:

- To seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability.
- http://www.nih.gov/about/mission.htm
- Is your proposal disease specific?
  - Explore potential ICs <a href="http://www.nih.gov/icd/">http://www.nih.gov/icd/</a>
- Ex. NIAID (missions, strategic planning)
  - https://www.niaid.nih.gov/research/role







- Unsolicited: Investigator Initiated grants
  - Investigator-initiated means you create an application in any area of science NIH supports.
    - General: Parent Program Announcements
- Solicited: NIAID Requested Research
  - When NIAID solicits targeted research, you get to choose your project—but you're limited to the topics specified in the funding opportunity announcement.
    - Request for application (RFA),
    - Program Announcement (PA, PAR).



#### Preparing for grant submission available information



- NIAID Pas and RFAs (funding opportunities) updated weekly
  - Opportunities List
- Subscribe (weekly updates):
  - https://grants.nih.gov/grants/guide/listserv\_dev.htm
- Glimpse future initiatives (Concepts). Latest concept posted is from June 2018
- https://www.niaid.nih.gov/grants-contracts/potentialopportunities



#### Preparing for grant submission Available information



- Use NIH databases to learn about emerging trends in funded research, find collaborators.
  - Reporter: <a href="https://www.niaid.nih.gov/grants-contracts/reporter">https://www.niaid.nih.gov/grants-contracts/reporter</a>
  - Matchmaker: <u>Matchmaker (link is external)</u> function lets you paste in text, gives you a list of up to 100 similar projects.
- Sample funded applications and summary statements: <a href="https://www.niaid.nih.gov/grants-contracts/sample-applications">https://www.niaid.nih.gov/grants-contracts/sample-applications</a>



### Preparing submission Contact Program Officer



- Contact a program officer (PO)
  - Look for program officer in the IC of your interest
- Consult Program Officer (PO) for
  - current scientific research interest of Institutes and centers (ICs)
  - Selecting appropriate grant mechanisms and its use in the particular institute.
  - knowing about different study sections



### Preparing for grant submission (Grant Mechanisms)



- Select grant mechanism, make sure the relevant NIH institution accepts that mechanism
  - R: research grants
  - P:research program project (multidisciplinary)
  - K: research career development
  - F: research fellowships
  - T: research training grants
  - http://grants.nih.gov/grants/funding/funding\_program
     .htm



### Preparing for grant submission (Small grants Vs R01)



- R03 limited funding for short period of time (pilot or feasibility studies, collection of preliminary data, secondary analysis of existing data...)
- R21 is useful if you don't have preliminary data and feel you are not yet competitive with other R01 applicants. Encourages new, exploratory and development research project.
- However, if you have preliminary data/result that can support your research hypothesis, you should go straight for the <u>R01</u>(Research project grant).



### Preparing for grant submission (Early Stage Investigators funding)



- K99/R00 (NIH Pathway to Independence)
- ≤ 5 yrs. from terminal degree, 2 phases
  - Phase 1(K99): mentored support, 1-2 years (e.g. post-doc)
  - Phase 2 (R00): independent support, 2-3 yrs.; contingent on securing independent research position (e.g. assistant professor)
  - Work towards preparing an R01 application



# Preparing for grant submission (not ready for independent support?)



- If you are not ready for independent support:
  - Become part of someone else's grant or
  - Apply for training or career award
    - -T32 (institutional award, pre-doc, post-doc)
    - K25 (career development):Supports junior faculty-level investigators with quantitative background to integrate their expertise with biomedicine.
       Mentored study and research



#### Selected Topics from NIAID Statistical Methods Portfolio



- Statistical methods for evaluating vaccine efficacy.
- Mathematical and experimental models for HIV dynamics.
- Bayesian Modeling and Data Integration in Infectious Disease Phylodynamics
- Causal inference in infectious disease prevention studies.



### Grant Submission (submission dates and study sections)



- Standard submission dates
- https://grants.nih.gov/grants/how-to-apply-applicationguide/due-dates-and-submission-policies/due-dates.htm
- Study section and IC assignment CSR
  - BMRD: Biostatistical Methods and Research Design
- List of NIH study sections
   https://public.csr.nih.gov/StudySections/Standing/Pages/default.aspxhttps://public.csr.nih.gov/StudySections/Standing/Pages/default.aspx



### Submission Dates (Eg. R01 grant mechanism)



	Cycle I AIDS/Others	Cycle II AIDS/Others	Cycle III AIDS/Others
Submission date	May 7/ March 5	September7/ June 5	January 7/ October 5
Scientific Merit Review	June - July	October - November	February - March
Advisory Council Review	September - October	January - February	May - June
Earliest Project Start Date	December	April	July



#### **Reviews**



#### Reviews

- 1st Level Peer Review, Scientific Merit
  - Study Sections: Scientific experts (3-4 reviewers, statistical methods grants, at least one subject matter reviewer).
- 2<sup>nd</sup> Level Peer review: Funding recommendation
  - National Advisory Council: Scientific experts, Division Directors and Advocacy organization representatives.

## What determines which awards are funded?



Scientific Merit (Study section result).

Program and/or Policy considerations

Availability of funds







- If grant is determined fundable:
  - Grant management specialist sends a note
  - PIs submit JIT documents on time
- If grant determined not fundable
  - Read summary statement carefully. Talk to your Program Officer (PO).
  - If reviewers concern is fixable then revise and resubmit
  - If concern is not fixable then re-evaluate
    - If substantial change needed then submit a new application
    - look for funding outside NIH (NSF, CDC,...)





#### Summary

- Useful resources
  - Investigators in your institutions who have funded NIH grants
  - NIH CSR contact: Scientific Review Officer
  - ICs Program Contacts:
    - NIAID statistical methods grants contact
    - Misrak Gezmu, <u>mgezmu@niaid.nih.gov</u>
  - NIH's and different IC's websites:
    - https://www.nih.gov/institutes-nih





### Thank you